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| U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE | | DATE: 24 Sept. 2004 (24 .09.2004) |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | U.S. APPLICATION NO. (if known) Not Yet Assigned 10/509396 |
| INTERNATIONAL APPLICATION NO.: PCT/EP03/03076 | INTERNATIONAL FILING DATE: 25 March 2003 (25.03.2003) | PRIORITY DATE CLAIMED: 27 March 2002 (27.03.2002) EP |
| TITLE OF INVENTION: NOVEL ALKOXYPYRIDINE-DERIVATIVES | | |
| APPLICANT(S) FOR DO/EO/US: ULRICH, Wolf-Rüdiger | | |
| Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| <p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 USC 371(f)) The submission must include items(5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):</p> <p style="margin-left: 40px;">a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 40px;">b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p style="margin-left: 40px;">c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input type="checkbox"/> A English translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 40px;">a. <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 40px;">b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p style="margin-left: 40px;">c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 40px;">d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) as provided in the PCT Request.</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>ITEMS 11 to 20 BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:</p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</p> <p>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 USC 1821 - 1825</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 USC 154(d)(4)</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 USC 154(d)(4)</p> <p>20. <input checked="" type="checkbox"/> Other items or information:</p> <p>APPLICATION DATA SHEET; TRANSMITTAL FORM; FEE CALCULATION; INTERNATIONAL PUBLICATION WO 03/080607 A1 WITH INTERNATIONAL PUBLICATION DATE OF 2 OCTOBER 2003 WITH SEARCH REPORT WHICH CONSISTS OF 48 PAGES INCLUDING 1 COVER SHEET CONTAINING THE ABSTRACT; 43 PAGES TEXTUAL SPECIFICATION, 5 PAGE S OF 12 CLAIMS, 0 SHEETS DRAWINGS; PRELIMINARY AMENDMENT WITH APPENDIXES A & B TO BE EXAMINED; PCT REQUEST; EXECUTED DECLARATION; EXECUTED ASSIGNMENT WITH RECORDATION COVER SHEET; PCT/IPEA/409 INTERNATIONAL PRELIMINARY EXAMINATION REPORT; PCT/IB/304 TRANSMITTAL OF PRIORITY DOCUMENT; PCT/IB/332 INFORMATION CONCERNING ELECTED OFFICES NOTIFIED OF THEIR ELECTION.</p> | | |

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| U.S. APPLICATION NO. (if known) not yet assigned | INTERNATIONAL APPLICATION NO. PCT/EP03/03076 | DATE; 24 Sept. 2004 (24.09.2004) | |
| 21. <u>x</u> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5): Search Report has been prepared by the EPO or JPO:.....\$920.00 International preliminary examination fee paid to USPTO (37 CFR 1.482).....\$730.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$770.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$1080.00 International preliminary examination fee (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) IPEA-U.S.....\$ 100.00 ENTER APPROPRIATE BASIC FEE AMOUNT = | | CALCULATIONS \$ 920.00 \$ 920.00 | PTO USE ONLY |
| Surcharge of \$130.00 for furnishing the oath or declaration later than ____ 20 ____ 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | \$ | |
| CLAIMS | NO. FILED | NO. EXTRA | RATE |
| TOTAL | 10 -20= | 0 | X \$ 18.00 |
| INDEPENDENT | 1 - 3= | 0 | X \$ 86.00 |
| Multiple dependent claims(s) (if applicable) | | | + \$280.00 |
| TOTAL OF ABOVE CALCULATIONS = | | | \$ 920.00 |
| Reduction by 2 for asserting small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28). | | | \$ 0.00 |
| SUBTOTAL = | | | \$ 920.00 |
| Processing fee of \$130.00 for furnishing the English translation later than ____ 20 ____ 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | \$ 0.00 |
| TOTAL NATIONAL FEE = | | | \$ 920.00 |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | \$ 40.00 |
| TOTAL FEES ENCLOSED = | | | \$ 960.00 |
| | | Amount to be: | |
| | | refunded | \$ |
| | | charged | \$ |

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DT12 Rec'd PCT/PTO 24 SEP 2004

ATTORNEY'S BOCKET NO: 26297

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| U.S. APPLICATION NO. (if known) not yet assigned | INTERNATIONAL APPLICATION NO. PCT/EP03/03076 | DATE: 24 Sept. 2004 (24.09.2004) |
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a. ☒ Two checks in the amounts of \$ 920.00 and \$ 40.00 to cover the above fees are enclosed.

b. ☐ Please charge my Deposit Account No. 14-0112 in the amount of \$ _____ to cover the above fees. (A duplicate copy of this sheet is enclosed.)

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-0112.

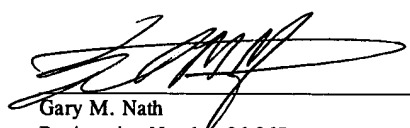
d. Fees are to be charged to a credit card ☐ WARNING: Information on this form may become public ☐ Credit Card Information should not be included on this form. ☐ Provide credit card information and authorization on PTO-2038

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

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Rev. 02/98

10/509396
MAIL STOP PCT

Attorney Docket No. 26297

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
ULRICH, Wolf-Rüdiger
International Application No. PCT/EP03/03076
Serial No. NOT YET ASSIGNED
International Filing Date: 25 March 2003 (25.03.2003)
Filed: September 24, 2004
For: **NOVEL ALKOXYPYRIDINE-DERIVATIVES**

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450


Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Transmittal Letter;
- (2) Application Data Sheet;
- (3) Transmittal Letter To U.S. Designated/Elected Office
(DO/EO/US) Concerning Filing under 35 U.S.C. 371
- (4) International Publication No: WO 03/080607 A1; International
Publication Date: 2 October 2003 (02.10.2003)
which consists of 48 pages including:
 - 1 cover sheet containing the Abstract,
 - 42 pages Textual Specification,
 - 5 pages of 12 claims,
 - 0 sheets of Drawings;
- (5) **Preliminary Amendment with Appendixes A & B to be examined;**
- (6) PCT Request;
- (7) Executed Declaration;
- (8) Executed Assignment with Recordation Cover Sheet;
- (9) PCT/IPEA/409 International Preliminary Examination Report;
- (10) PCT/IB/304 Notification Concerning Transmittal of Priority Document;
- (11) PCT/IB/332 Information Concerning Elected Offices Notified of Their Election;
- (12) Check No. 21779 \$ 920.00 for Government Filing Fee;
- (13) Check No. 21780 \$ 40.00 for recordation fee;
- (14) Postcard for early notification of serial number.

Respectfully submitted,
NATH & ASSOCIATES PLLC

By:


Gary M. Nath
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Sheldon M. McGee
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Date: September 24, 2004
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GMN/SMM/ng/PCT.NATLphase